

(Only for new members - read all categories under "OFFERED")

1017 U.S. PTT
09/865714

See MFEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (*Appendix*)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary):
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

7.	<input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))	
8.	<input type="checkbox"/>	37 C.F.R. § 3.73(b) Statement <i>(when there is an assignee)</i>	<input type="checkbox"/> Power of Attorney
9.	<input type="checkbox"/>	English Translation Document <i>(if applicable)</i>	
10.	<input checked="" type="checkbox"/>	Information Disclosure Statement (IDS): PTO-C-1449	<input checked="" type="checkbox"/> Copies of IDS Citations
11.	<input type="checkbox"/>	Preliminary Amendment	
12.	<input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i> * Small Entity Statement(s)	<input type="checkbox"/> Statement filed in prior application. Status still proper and desired
13.	<input type="checkbox"/>	PTO SB 09-12	
14.	<input checked="" type="checkbox"/>	Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
15.	<input type="checkbox"/>	Other	

*NOTE FOR ITEMS 1 & 15: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27). EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

- 16 If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
 Prior application information: Examiner _____ Group Art Unit _____
 For **CONTINUATION or DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label

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23400

PALEN TRADEMARK, ETC.

Appendix

10

Setup

ZnO-coated

1. *Phragmites australis* (Cav.) Trin. ex Steud.

$$T_{\text{eff}} = T_0 + \frac{1}{2} \frac{v^2}{c^2} T_0$$

(202) 416-1638

5.3x

(202) 416-1639

Name: _____ Print, plz

DAVID G. POSZ

Registration No. 44-760, 45000

37.701

Summary

Vol. 13

1

MAY 29, 200

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2000</h3> <p style="margin: 5px 0;"><i>Patent fees are subject to annual revision</i></p>	<p style="text-align: center;">Complete if Known</p> <p>Application Number _____</p> <p>Filing Date May 29, 2001</p> <p>First Named Inventor ITO</p> <p>Examiner Name _____</p> <p>Group/Art Unit _____</p> <p>Attorney Docket No. 01-149</p>
TOTAL AMOUNT OF PAYMENT (\$) 974	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																								
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to _____</p> <p>Deposit Account Number 50-1147</p> <p>Deposit Account Name LAW OFFICE OF DAVID G. POSZ</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>125</td><td>130</td><td>235</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>5</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>100</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920</td><td>112</td><td>920</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840</td><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>119</td><td>1,890</td><td>219</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of information Disclosure Sheet</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property times number of properties</td><td>40</td></tr> <tr><td>146</td><td>110</td><td>246</td><td>55</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td colspan="6">Other fee, specify: _____</td></tr> <tr><td colspan="6">Other fee, specify: _____</td></tr> <tr> <td colspan="4" style="text-align: right;">*Reduced by Basic Filing Fee Paid</td> <td colspan="2" style="text-align: right;">SUBTOTAL (3) (\$)40</td> </tr> </tbody> </table>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	125	130	235	65	Surcharge - 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SUBMITTED BY Name (Print Type) DAVID G. POSZ		Complete (Signature) Signature David G. Posz	
Amount Due 37,701		Telephone (202) 416-1638	
Date MAY 29, 2001			

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DAVID G. POSZ
1000 L STREET, N.W. SUITE 200
WASHINGTON, D.C. 20036

SPECIAL AGENT IN CHARGE, PATENTS, TRADEMARKS & COPYRIGHTS

DAVID G. POSZ
PATENT ATTORNEY
• 2000 L STREET, N.W. •

May 29, 2001

DAVID G. POSZ
PATENT ATTORNEY
• 2000 L STREET, N.W. •

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

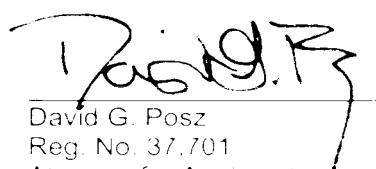
Sir:

OIPE HAND DELIVERY FILING CERTIFICATE

Applicant: ITO et al.
For: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD OF THE SAME
Docket: 01-149
Attorney: David G. Posz
Date of Deposit: May 29, 2001

I hereby certify that this certificate and the following documents are being hand delivered to, and deposited with, the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the above-indicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Washington, D.C., 20231:

- return receipt postcard;
- transmittal form (2 copies);
- fee calculation form (2 copies);
- 59 page specification including 28 numbered claims;
- 18 sheets of formal drawings;
- executed declaration/power of attorney;
- executed assignment with recombination cover sheet (3 pages total);
- IDS with PTO-1449 form and 7 references;
- certified copy of priority document (JP 2000-159685); and
- check for \$974.


David G. Posz
Reg. No. 37,701
Attorney for Applicant